

**FAST/OPORTUNISTIC DISTRIBUTED RESOURCE REALLOCATION FOR  
ESTABLISHED CONNECTIONS IN A MULTIHOOP NETWORK**

**5 BACKGROUND OF THE INVENTION**

Field of the Invention

The present invention relates in general to a multihop network that implements a reactive routing protocol which is used by nodes to continuously adapt resources of the 10 multihop network in response to topology changes in the multihop network so as to optimize the performance of a connection between a source node and a destination node.

Description of Related Art

15 A problem inherent with multihop networks (wireless ad hoc networks) is that they have a topology that changes over time because the nodes are mobile which can lead to a connection breaking between two nodes relaying traffic for a specific connection. There are several other reasons why 20 a topology changes over time in addition to moving nodes. For example, topology changes may occur even without nodes moving such as variations caused by moving objects on which radio waves reflect or changes in the communication media. These topology changes include, for example, channel 25 variations (of own and/or interfering channels), traffic

pattern changes, transmit pattern changes and resource allocation changes. To adapt to these topology changes, the multihop networks can employ either a proactive routing protocol or a reactive routing protocol. In multihop networks that employ a proactive routing protocol, the topology changes are typically adapted to by continuously updating the routing paths between the nodes. And, in multihop networks that employ a reactive routing protocol, the routing paths between the nodes are first set up in what is usually denoted the route discovery phase. Once the path setup is complete, the route maintenance phase takes over. This phase is responsible for maintaining paths between active source/destination pairs in the face of topological changes, for example when two nodes on the path towards the destination node have moved apart too far which causes the connection to break then a route repair procedure (part of the route maintenance phase) is invoked as a rescue operation to try and repair the connections between the nodes. If this rescue operation is not successful, then a new route discovery round has to be performed. Examples of reactive routing protocols include AODV (Ad Hoc on Demand Distance Vector) and DSR (Dynamic Source Routing) that were developed within IETFs MANET workgroup are described in the following articles:

25

- C. Perkins, E.M. Royer and S. R. Das, "Ad Hoc On-demand Distance Vector Routing", RFC 3561, July 2003.

- D. Johnson and D. Maltz, "Dynamic Source Routing in Ad Hoc Wireless Networks", draft-ietf-manet-dsr-09.txt, April 2003.

5       The contents of these articles are hereby incorporated by reference herein.

Although these routing protocols generally work well they still have a drawback in which they fail to do enough  
10 to optimize the performance of a connection between two nodes. Accordingly, there is a need for a multihop network that implements a new reactive routing protocol which optimizes the performance of a connection between two nodes. This need and other needs are satisfied by the  
15 multihop network, node and method of the present invention.

#### **BRIEF DESCRIPTION OF THE INVENTION**

The present invention includes a multihop network that implements a reactive routing protocol which enables nodes  
20 to continuously adapt network resources in a distributed/opportunistic manner in response to a topology change within the multihop network so as to optimize the performance of a connection between a source node and a destination node. The types of resources that can be  
25 adapted include for example: (1) a route; (2) a channel; and/or (3) physical layer parameters. And, the different types of topology changes that can occur include for example: (1) movement of a node; (2) quality variations in

a channel between the source node and the destination node; (3) changes in traffic patterns in the multihop network; (4) changes in transmit patterns (e.g., power, beamforming direction) in the multihop network; and (5) changes in resource allocations in the multihop network (100, 400).

#### BRIEF DESCRIPTION OF THE DRAWINGS

A more complete understanding of the present invention may be had by reference to the following detailed 10 description when taken in conjunction with the accompanying drawings wherein:

FIGURE 1 is a block diagram that illustrates an exemplary multihop network which has nodes that implement a reactive routing protocol in accordance with the present 15 invention;

FIGURE 2 is a flowchart illustrating the steps of a preferred method for implementing the reactive routing protocol within the multihop network of FIGURE 1 in accordance with the present invention;

20 FIGURE 3 is a block diagram of an exemplary beacon that can be transmitted from an active node within the multihop network of FIGURE 1 in accordance with step 202 of the method of FIGURE 2; and

FIGURES 25 4A-4D are block diagrams illustrating different ways the reactive routing protocol can be used to adapt a route between a source node and a destination node in the multihop network of FIGURE 1.

**DETAILED DESCRIPTION OF THE DRAWINGS**

Referring to FIGURE 1, there is disclosed a block diagram of an exemplary multihop network 100 that has nodes 102a, 102b...102q (17 shown) which implement a reactive routing protocol in accordance with method 200 of the present invention. As shown, the multihop network 100 has multiple nodes 102a, 102b...102q that operate in a wireless medium where traffic sent between two nodes 102a and 102m (for example) is called a flow 104 (one shown). The node 10 originating the transfer of data in a flow 104 is called a source node 102a and the node terminating the data is called a destination node 102m. The multihop network 100 can have zero, one or a multitude of flows 104 at each instant between any two nodes 102a, 102b...102q. Each flow 15 104 is carried in a connection 106 where only one connection 106 between nodes 102a and 102m is shown. It should be appreciated that multiple flows 104 may be multiplexed into a connection 106 and multiple connections 106 may be established for each source node 102a as well as 20 for each destination node 102m. In addition, the same source node 102a and destination node 102m may have multiple connections 106 as well as multiple flows 104. Each connection 106 is defined through a path 108 (route) and is characterized by: (1) the identities of active nodes 25 102a, 102f, 102h, 102k, 102l and 102m (for example); (2) the channels; and (3) the link parameters along the path 108. In an alternative embodiment of the present invention, the connection 106 is characterized by: (1) the

path 108; (2) the link parameters; and (3) the transmit instances. The latter type of connection 106 is associated with non-slotted transmissions in the time domain, whereas the former type of connection 106 is more TDMA (time 5 division multiple access), FDMA (frequency division multiple access) and OFDMA (orthogonal frequency division multiple access) oriented.

As shown, the path 108 is assembled by shorter links between adjacent active nodes 102a, 102f, 102h, 102k, 102l 10 and 102m which form the connection 106. The parameters of a link associated with a transmission of a flow 104 along path 108 are characterized for example by: (1) transmit power; (2) modulation; (3) direction, and (4) MIMO (Multiple-Input-Multiple-Output) parameters. And, the 15 parameters of a link associated with reception of a flow 104 along path 108 may include for example information about the tuning of antenna arrays, provided these parameters are used. Each connection 106 typically has an upper data rate limit and the flow 104 may use a fraction 20 of the available data rate or the full bandwidth. The nodes 102a, 102b...102q within reach of each other are said to be neighbors. There are several definitions of the term "within reach". For example, nodes can be "within reach" 25 of each other whenever one node has an average SNR (signal-to-noise ratio) at reception that exceeds a predetermined level when the maximum permitted transmit power is used at the sending node and no interfering nodes exist. Finally, it should be appreciated that the communications within the

multihop network 100 are on separate channels which are typically orthogonal and hence should not interfere with each other. And, the changing from one channel to another in a node 102a, 102b...102q is called channel switching.

5 In accordance with the present invention, each of the nodes 102a, 102b...102q within the multihop network 100 implement a reactive routing protocol (method 200) that is a marked improvement over the aforementioned traditional reactive routing protocols. Again, the traditional  
10 reactive routing protocols like the AODV and DSR have a drawback in which they fail to do enough to optimize the performance of a connection between two nodes. The multihop network 100 of the present invention addresses this need by implementing a new reactive routing protocol  
15 (method 200) that adapts one or more resources in the multihop network 100 in response to a topology change in the multihop network 100 in order to optimize the performance of the connection 106 between the source node 102a and the destination node 102m. The types of resources  
20 that can be adapted include for example: (1) a route; (2) a channel; and/or (3) physical layer parameters. And, the different types of topology changes that can occur include for example: (1) movement of nodes 102a, 102b...102q; (2) quality variations in a channel between the source node  
25 102a and the destination node 102m (not necessarily only for links currently forwarding data for the connection considered but also for links that may be used instead); (3) changes in traffic patterns in the multihop network

100; (4) changes in transmit patterns (e.g., power, beamforming direction) in the multihop network 100; and (5) changes in resource allocations in the multihop network 100. A more detailed description about the different 5 aspects and features of the reactive routing protocol (method 200) are provided below with respect to FIGURES 2-4.

Referring to FIGURE 2, there is a flowchart illustrating the steps of the preferred method 200 for 10 implementing the reactive routing protocol within the multihop network 100. Beginning at step 202, the active nodes 102a, 102f, 102h, 102i, 102l and 102m (for example) which are located within the connection 106 transmit a beacon 302 (see FIGURE 3) that contains one or more 15 measures of performance for the connection 106. In one embodiment, the beacon 302 may be generated once a frame 304 which includes a control part 306 and a TDMA data carrying part 308. The beacon 302 can be assigned a mini timeslot 310 so that it will not collide with beacons 302 20 (not shown) transmitted from adjacent nodes. The beacon 302 could be transmitted with a power level and data rate that were selected so the beacon 302 has a reach that is as long or longer than other messages sent by nodes 102a, 102f, 102h, 102k, 102l and 102m.

25 The beacon 302 further includes a general broadcast part 312 and a connection specific part 314. In the general broadcast part 312, the power for the beacon 302 is indicated. This allows any node 102a, 102b...102q that is

"within reach" to determine an open loop path loss. The ID of the transmitting node 102a, 102f, 102h, 102i, 102l or 102m (for example) is also indicated. In the connection specific part 314, a connection ID, connection rate, 5 transmit/receive ID and/or transmit power/CIR (Carrier-to-Interference Ratio) can be indicated. In addition, the connection specific part 314 indicates a measure of performance for each connection 106. The measure of performance can be an accumulated cost for the whole 10 connection 106. The maximum allowed power,  $P_{max}$ , for each timeslot or equivalent connection is another performance measure.  $P_{max}$  reflects either a power capability of the transmitting node 102a, 102f, 102h, 102k, 102l or 102m or a maximum power that can be used not to interfere with other 15 ongoing connections 106.

At step 204, the neighboring nodes 102b, 102d, 102e, 102g, 102i, 102j, 102q, 102p and/or 102o (for example) receive one or more of the beacons 302 transmitted from the active nodes 102a, 102f, 102h, 102k, 102l and 102m. The 20 active nodes 102a, 102f, 102h, 102k, 102l or 102m also receive beacons 302 transmitted from other active nodes 102a, 102f, 102h, 102k, 102l or 102m. For example, active node 102f and 102k receive the beacons 302 from active node 102h.

25 At step 206, each neighboring node 102b, 102d, 102e, 102g, 102i, 102j, 102q, 102p and/or 102o calculates a cost function based on the measure of performance and other information (optional) in each received beacon 302.

Likewise, each active node 102a, 102f, 102h, 102k, 102l and/or 102m calculates a cost function based on the measure of performance and other information (optional) in each received beacon 302.

5 At step 208, each neighboring node 102b, 102d, 102e, 102g, 102i, 102j, 102q, 102p and/or 102o and active nodes 102a, 102f, 102h, 102k, 102l or 102m determines whether the cost function for the connection 106 between the source node 102a and the destination node 102m can be improved by  
10 adapting at least one resource (e.g., route, channel and/or physical layer parameters) in the multihop network 100. If the answer at step 208 is yes, then step 210 is performed by the relevant neighboring node 102g (for example) or active node 102f (for example) which adapts at least one  
15 resource to improve the cost function for the connection 106 between the source node 102a and the destination node 102m. Typically, the neighboring node 102g (for example) would adapt a route resource as described in greater detail below with respect to FIGURES 4A, 4B and 4D. And, the  
20 active node 102f (for example) would adapt a route resource, a channel resource or a physical layer parameter resource as described in greater detail with respect to FIGURE 4C. In one embodiment, the relevant neighboring node 102g (for example) or active node 102f (for example)  
25 can adapt or reallocate the resource in a distributed manner relatively fast when an average performance measure of a topology change such as an average path loss is used to determine if the cost function of the connection 106 can

be improved between the source node 102a and the destination node 102m. In another embodiment, the relevant neighboring node 102g (for example) or active node 102f (for example) can adapt or reallocate the resource in an opportunistic manner when a performance measure of an instantaneous or real-time topology change such as an instant CIR is used to determine if the cost function of the connection 106 can be improved between the source node 102a and the destination node 102m. In either embodiment, the relevant neighboring node 102g (for example) or active node 102f (for example) is allowed to adapt the resource if that adaptation does not adversely affect the performance of another connection in the multihop network 100. If the answer at step 208 is no, then step 212 is performed where the neighboring node 102b, 102d, 102e, 102g, 102i, 102j, 102q, 102p and/or 102o or active node 102a, 102f, 102h, 102k, 102l or 102m simply maintains the resources in the connection 106 between the source node 102a and the destination node 102m.

A more detail description about some of the different ways the method 200 and reactive routing protocol can be used to adapt a route between a source node and a destination node is provided below with respect to FIGURES 4A-4D. To better describe some of the features of the present invention, the multihop network 400 used below has a simpler configuration than the multihop network 100. Of course, it should be noted that the number of nodes shown within the multihop networks 100 and 400 have been selected

for simplicity of illustration and that the number of nodes and their configuration should not be a limitation on the present invention.

Referring to FIGURES 4A-4D, four basic cases are shown  
5 as to how the route for a connection between a source node A and destination node E can be adapted in accordance with step 210 of method 200. In the first case shown in FIGURE 4A, node F listens at time  $t_0$  to beacons 302 (not shown) sent by active nodes B and D (for example). And then at  
10 time  $t_1$ , node F inserts itself into the connection and excludes node C from the connection between the source node A and destination node E, provided an objective cost function is optimized in accordance with steps 206, 208 and 210 of method 200. It should be noted that in this case  
15 and the other examples described below where the reactive routing protocol adapts a resource in a distributed manner then one event preferably take place at a time so as to avoid concurrent adaptations.

In the second case shown in FIGURE 4B, node F listens  
20 at time  $t_0$  to beacons 302 (not shown) sent by active nodes A, B, C, D and E (for example). And then at time  $t_1$ , node F inserts itself into the connection and excludes multiple nodes B, C and D from the connection between the source node A and destination node E, provided an objective cost  
25 function is optimized in accordance with steps 206, 208 and 210 of method 200.

In the third case shown in FIGURE 4C, active node C listens at time  $t_0$  to beacons 302 (not shown) sent by active

nodes B and D (for example). And then at time  $t_1$ , node C noticed that it offers a suboptimum path and initiates a path change where it excludes itself from the connection between the source node A and destination node E, provided  
5 an objective cost function is optimized in accordance with steps 206, 208 and 210 of method 200. As can be seen, the active node C in this case is capable of performing steps 204, 206, 208 and 210 in method 200.

Several ways exist on how these three cases can be  
10 implemented in accordance with method 200. In one example, a good choice is to exploit the accumulated cost (performance measure) that is distributed along a path and announced in a beacon 302. The cost along the path can then be compared with the cost determined by the node that  
15 overhears beacon(s) 302 and checks whether it should insert/exclude itself into/from the connection between source node A and destination node E.

In another example, transmit power (performance measure) can be used as a cost metric. For example,  
20 consider node  $j$  that estimates the cost for node  $j+1$  based on the actual cost from node  $j-1$ . The costs incurred from node  $j-1$  to  $j$  as well as from node  $j$  to  $j+1$  are denoted with  $\Delta C$  and relevant index. The total estimated cost at node  $j+1$  is then:

25

$$\hat{C}_{j+1} = \Delta C_{j,j+1} + \Delta C_{j-1,j} + C_{j-1}$$

A new path is considered if the estimated cost is

lower than the old existing cost as indicated below:

$$\text{New path} = \begin{cases} \text{Yes, if } \hat{C}_{j+1} < C_{j+1} \\ \text{No if } \hat{C}_{j+1} > C_{j+1} \end{cases}$$

5        The delta costs  $\Delta C$  is related to the minimum power required to satisfy a SNR target  $\Gamma_0$  (for the required rate in question). As an example for node  $j-1$  to  $j$ , the minimum power  $P$  can be calculated as:

10        
$$P_{j-1} = \frac{\Gamma_0 \cdot \sigma_j^2}{G_{j-1,j}}$$

where  $G_{j-1,j}$  is the path gain from node  $j-1$  to  $j$  and  $\sigma_j^2$  is the receiver noise and interference power for node  $j$ . In addition to this, one may also ensure that any node (in this example, node  $j-1$ ) is not allowed to transmit with power strong enough to lower the CIR of other existing connections below their respective target CIR, as indicated below:

20        
$$\Delta C_{j-1,j} = \begin{cases} P & , \text{if } P < P_{\max} \\ \infty & , \text{if } P > P_{\max} \end{cases}$$

$P_{\max}$  for a node can be determined for each timeslot (and thereby per connection) and distributed with the beacon 302. This procedure is preferably executed for each channel, allowing node  $j$  to determine also an optimal

channel. In addition to the above power minimization criteria and CIR guarantee criteria, other criteria may be included. Examples of such criteria may include filtering of the cost (e.g. time averaging), hysteresis (to avoid 5 ping-pong effects) and time related conditions.

It has been shown in FIGURES 4A-4B where only one node F inserts itself into a connection 406 between a source node A and a destination node E. However, a chain of nodes F and G could also be inserted into a connection between a 10 source node A and a destination node E in an analogous manner, by offering a path that minimized the cost function (see FIGURE 4D). In particular, nodes F and G listen at time  $t_0$  to beacons 302 (not shown) sent by active nodes A, B, C, D and E (for example). And then at time  $t_1$ , nodes F 15 and G insert themselves into the connection and exclude multiple nodes C and D from the connection between the source node A and the destination node E, provided an objective cost function is optimized in accordance with steps 206, 208 and 210 of method 200.

One way to enable nodes F and G to be inserted into a 20 connection like the one shown in FIGURE 4D is to build (reasonably long) shortest path trees outgoing from each node A, B, C, D and E along a connection. Shortest paths that pass through nodes F and G further downstream of the 25 existing connection evaluate whether the cost offered by any shortest path trees is improved when compared to existing connection path. Similar to the first and second cases shown in FIGURES 4A and 4B, nodes F and G that are

not part of the existing connection but are part of one or more shortest path trees rooted at one or more nodes along the connection may actively insert themselves, provided that a improved path is found. To limit the complexity of 5 this embodiment, a limited number of hops may be allowed for the shortest path trees.

To implement the case shown in FIGURE 4D, the objective cost function may also incorporate an additional cost factor  $C_{extr}$  that ensures any adaptation by step 210 10 strives towards using the shortest path to connect the source node A and destination node E. This extra cost factor can be determined in following manner wherein every node generates a shortest path tree (performance measure) through slow proactive routing using a Bellman Ford 15 algorithm (for example). Each node i then has a cost from itself to every other node j. The cost is denoted  $C_{ij}$ . Node i can then determine the extra cost depending on its cost to any two nodes S and D (not shown) as indicated below:

20

$$C_{extra} = f(C_{iS}, C_{iD})$$

where the function can be an addition or multiplication. This ensures that the extra cost increases as it gets 25 further away from the source node and destination node. This cost is then also included with the basic cost determination in step 208 through a simple addition or other operation.

Referring back to the adaptation step 210 in method 200, it should be appreciated that the reactive routing protocol can enable the resources of the multihop network 100 and 400 to be adapted in a "distributed manner" in response to topology changes within the multihop network 100 and 400 to optimize the performance of a connection between a source node and a destination node. For a well behaved distributed operation, i.e. avoiding time races between control signals potentially resulting in inefficient optimizations (or potential deadlocks), special scheduling may be needed for the control signaling. The scheduling is arranged in such way that only one event in a local region preferably, i.e. resource optimization take place at a time. This characteristic, we denote as locally atomic. To ensure that the multihop networks 100 and 400 are locally atomic for control traffic, wherein only one event takes place at a time, the multihop networks 100 and 400 can use any distributed multiple access protocol having the required characteristic, such as the one described in an article by R. Rozovsky et al. "SEEDEX: A MAC protocol for ad hoc networks" Mobilhoc 2001 proceedings, the contents of which are incorporated herein. The multiple access protocols may in addition to being used when reallocating resources can also be used in assigning the transmit times of the beacons 302.

From the foregoing, it can be readily appreciated by those skilled in the art that the present invention provides a multihop network, node and reactive routing

protocol which helps to optimize the performance or quality of a connection between a source node and a destination node. As disclosed, the present invention operates to continuously adapt the multihop network's resources in response to the multihop network's topology changes to optimize the performances of connections between source and destination nodes. When adapting the connection, the route, channel and Physical (e.g. power) layer parameters can be jointly and continuously adapted in response to topology changes. In another embodiment, the resource adaptation could take place on a timescale that is fast enough to follow instantaneous channel fluctuations, such as those incurred by channel fading and traffic fluctuations, and hence this type of resource adaptation would be of an opportunistic character where peak of channel opportunities are exploited.

Following are some additional features, advantages and uses of the multihop network, node and reactive routing protocol of the present invention:

- The multihop network can be associated with ad hoc networks where nodes are mostly mobile and no central coordinating infrastructure exists. The nodes in such a network can be a laptop computer, mobile phone and/or a personal digital assistant (PDA). However, the multihop network can be applied when nodes are fixed. One such scenario targets rural area Internet

access and uses fixed nodes attached to the top of house roofs, lamp posts and so forth.

- One advantage of the present invention is that when 5 the channel fluctuations occur with a coherence time on the order of or greater than the resource assignment response time, then channel assignment within the multihop network will be opportunistic.
  - 10 • Another advantage of the present invention is that multiple layer functions are jointly and continuously optimized which promises improved performance in the multihop network.
- 15 Although several embodiments of the present invention have been illustrated in the accompanying Drawings and described in the foregoing Detailed Description, it should be understood that the invention is not limited to the embodiments disclosed, but is capable of numerous 20 rearrangements, modifications and substitutions without departing from the spirit of the invention as set forth and defined by the following claims.